

Jimmy Mullick CSM, CC-ISC2, CCT

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Summary

Results-driven Cyber Risk Analyst and Governance Leader with over 10 years of progressive experience in enterprise technology risk management, cybersecurity compliance, and regulatory assurance across financial services and consulting environments. Proven expertise in performing risk assessments, maintaining technology risk registers, driving remediation activities, and delivering executive-level risk reporting that aligns cyber, technology, and enterprise risk postures. Deep knowledge of global regulatory frameworks (NIST CSF, ISO 27001, SOX, DORA, COBIT) and risk assessment methodologies. Skilled in collaborating with cross-functional domain owners (cyber, AI, supply chain, product) and coordinating with internal audit, legal, and corporate risk teams to integrate security risks into enterprise frameworks. Experienced supporting audits (internal, external, regulatory) and managing risk/policy data in GRC platforms such as Archer. Currently pursuing CISSP and CISM certifications.

Core Competencies & Technical Skills

Risk Management & Compliance Frameworks

- Third-Party Risk Lifecycle
- Management & Vendor Onboarding
- Regulatory Governance & Compliance Readiness
- COBIT | HIPAA | GDPR | Cloud Security Framework | CIS Controls
- Three Lines of Defense
- Audit Support & Remediation
- SOP & Policy Development
- Vulnerability Management
- NIST AI RMF

Security Standards & Control Frameworks

- ISO 27001, ISO/IEC 27034, ISO/IEC 27035, ISO/IEC 27036
- NIST SP 800-53, SP 800-61, SP 800-161
- SOC 2 | OWASP | NIST SSDF | SANS Incident Response | NIST AI RMF
- Application & Physical Security (Defense-in-Depth)
- Cybersecurity Risk Tools: Tenable, SentinelOne, Balbix
- Identity & Access Management (IAM)
- IT Security Controls Implementation

- Automated Controls Design
- GRC Platform Management

Threat Intelligence & Incident Readiness

- MITRE ATT&CK | Diamond Model | Cyber Kill Chain
- STIX/TAXII Standards
- Incident Response Planning & Threat Modeling

Tools & Technical Proficiency

- JIRA | Toad Data Point | Postman | SailPoint
- ETL/Data Validation & AI Driven QA Automation

Governance, Strategy & Delivery

- Vendor Onboarding & Third-Party Assessments
- Risk Reporting & Documentation
- Agile/SAFe Project Methodologies
- Cross-Functional Team Collaboration

Certifications/Workshops: Six Sigma Green Belt | Six Sigma Black Belt | Certified Scrum Master (CSM) | **Cisco Certified Support Technician (CCST) – Networking & Cybersecurity** | **Cybersecurity Certificate (CC) – ISC2** | Google Essentials in AI | **Certified Information Systems Security Professional (CISSP)** – in progress | **Certified Information Security Manager (CISM)** – in progress | **AI / Forward Conference** – Workshop- Oct 2025, May 2026 | **AI Senior Leader Panel Discussion** – Jan 2026 | **AI Workshop Series** – Session 1: **Prompt Engineering for Professionals** – Feb 2026 | **AI Workshop Series** – Session 2: **AI Agents & Model Context Protocol** – March 2026 | **AI Workshop Series** – Session 3: **AI Risks & Governance** – April 2026

Work Experience

IT Risk Manager

June 2023 - Present

Caprizo Consulting – Huntington Bank (Remote)

Risk Lifecycle Management & Governance:

- Led end-to-end risk lifecycle management by partnering with risk owners and SMEs to intake, triage, and assess technology risks, calculate inherent and residual risk, and drive treatment decisions.
- Owned and evolved the risk register, enhancing tooling and driving automation to improve data integrity and streamline documentation.
- Maintained domain expertise in ISO 27001/2/5, NIST CSF, DORA, COBIT, and global regulatory frameworks to ensure enterprise compliance.

Executive Reporting & Stakeholder Enablement:

- Enabled risk-informed business decisions by clearly communicating tradeoffs to stakeholders and leadership through executive briefings, dashboards, and written reports.
- Reported risk posture through actionable metrics and mitigation recommendations to align with business goals.
- Facilitated cross-functional pressure testing and execution of risk treatments across teams.

Team Development & Global Risk Alignment:

- Built and led a high-performing risk analyst team, providing coaching and career development.
- Championed the org-wide rollout of the tech risk program, maintaining runbooks and knowledge-sharing platforms.
- Coordinated with Enterprise Risk Management (ERM), Legal, Compliance, and GRC teams to ensure global risk governance across jurisdictions and regulatory bodies.
- Supported audits and inspections by compiling and analyzing data for internal and external assessments under tight deadlines.

Risk & Compliance Analyst (Software QA Analyst)

March 2023 - May 2023

Bank of America

Audit Support & Data Integrity:

- Developed backend automation scripts to support compliance controls for Mortgage LOS platform scalability, aligning with NIST SP 800-53, ISO 27001, and SOC 2 requirements.
- Drove compliance documentation efforts under SAFe Agile practices and JIRA tracking, decreasing defect escape rate by 30% and enabling audit traceability.
- Conducted test case validation in support of control requirements for ITGC and vendor access review processes.

Compliance Project Delivery:

- Created backend scripts to enhance ERP Mortgage LOS platform scalability, achieving 98% coverage.
- Applied Safe Agile practices and JIRA tracking to drive audit documentation and reduce defect escapes by 30%.

Risk Analysis & Reporting:

- Analyzed performance rating data across financial branches, improving processing time by 20%.

Vendor Risk Testing Lead (QA Lead / Test Coordinator)

June 2017 - March 2023

Tata Consultancy Services (TCS)

Third-Party Risk & Audit Governance:

- Conducted vendor security reviews, ensuring compliance with ISO 27001, SOC 2, and NIST 800-53

standards.

- Validated IAM privilege class settings via SailPoint & Postman to meet security governance protocols with 100% API coverage.
- Supported SOX and internal audit readiness by maintaining 99.5% detection accuracy and implementing secure development practices.

Stakeholder Engagement & Cross-Functional Leadership:

- Led Tech Connect meetings with Product and Dev teams, driving alignment on compliance-focused features.
- Oversaw offshore testing teams, ensuring 99.9% end-to-end test case coverage for all regulatory workflows.

System Security & Scalability Testing:

- Executed performance and reliability testing of SSIS interfaces for ERP Mortgage Platform, reducing load times by 50%.
- Supported confidential government and healthcare system upgrades under C2 clearance.

Clients: Bank of America, AGFirst Bank, AAA-Michigan, Bakkt, East Dil

Third-Party Risk Tester (Senior Software System Tester)

September 2013 - June 2017

UST Global

Policy & Test Documentation:

- Uploaded test cases to Quality Center and managed comprehensive defect records ensuring traceability.
- Maintained defect closure rate of 95% through disciplined regression and system testing.

Vendor Platform Testing & UAT:

- Delivered Agile UAT for Java-based e-Brokerage systems, achieving 98% story completion.
- Executed functional test coverage across Java web apps for institutional finance clients.

Cross-Tool Collaboration:

- Worked across ALM, VersionOne, and Agility for test tracking and stakeholder alignment.

Clients: Vanguard, Lowes Corp, Blue Cross Blue Shield of South Carolina, Well Fargo Advisors

Education & Certifications

BS in Information Systems – University of Texas at Arlington, TX

Professional Affiliations

- **Accountability and Hopeful Fridays (AHF)** – Board Member – November 2024 - Present
 - **Lake Norman IT Professionals (IT Professionals Community)** – Ambassador/committee member as Head of Logistics – July 2023 – Present
 - **Tech After Five** – Ambassador – February 2023 – Present
 - **ISSA.org** – Event Planning & Security Networking
 - **ISC2 Charlotte Chapter Member** – Cyber/IT Work Shops – Ambassador – 2024 - Present
 - **Volunteer Engagement at Charlotte SecureWorld Conference** – 2023 - 2026
 - **UNC Charlotte Cybersecurity Symposium** – 2023 - 2025 – Cybersecurity Work Shops/Volunteer
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